

Improved Masking Strategies for Self-Supervised Speech Representation Learning

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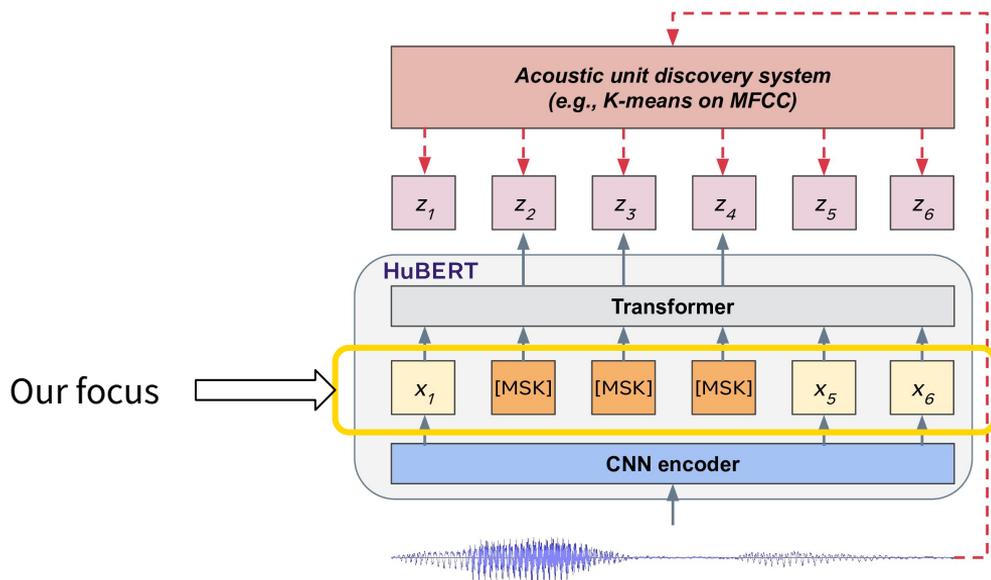
Background

- **Self-supervised** speech representation learning involves training a model with lots of unlabeled speech data to generate powerful latent representations
- Recent approaches like **wav2vec2.0** [1] and **HuBERT** [2] have achieved state-of-the-art performance on many downstream tasks like Librispeech [3] ASR, Keyword Spotting, Phoneme Recognition, and more tasks from the SUPERB [4] benchmark
- In this work, we focus on HuBERT and Masked Language Modelling approaches for speech.



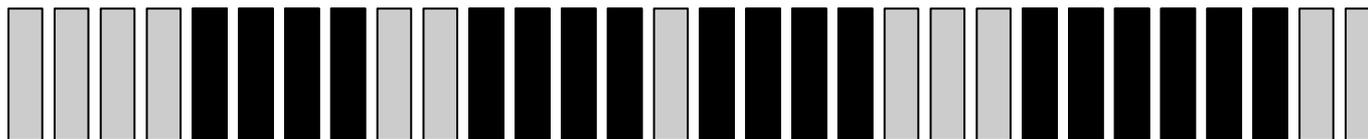
HuBERT

- Involves **masking** a random subset of the input (speech frames) sequence and tasking the model with **predicting** a *discretized* version of the masked input
- Similar approach to Masked Language Models like BERT



RandomFrameSpan Masking

- We can call the masking strategy used by HuBERT as *RandomFrameSpan Masking*
- This masking strategy is fairly simple:
 - randomly sample a **proportion p** of all input timesteps to be **start indices** of masking spans
 - mask a **fixed number M** of timesteps starting from each start index
 - In HuBERT, $p = 0.08$, $M = 10$



$n=30$
 $p=1/6$
 $M=4$

Better Masking Strategies in textual NLP

- **Random-Token Masking:** Original BERT; pick subword tokens randomly
- **Random-Span Masking:** Sample a span length and span start index randomly, mask entire span (consisting of multiple words)
- **Knowledge Masking** and **Salient Span Masking:** Use parsers to identify meaningful entities/phrases and mask these.

Can we propose improved masking strategies for speech as well?



RandomPhoneme Masking

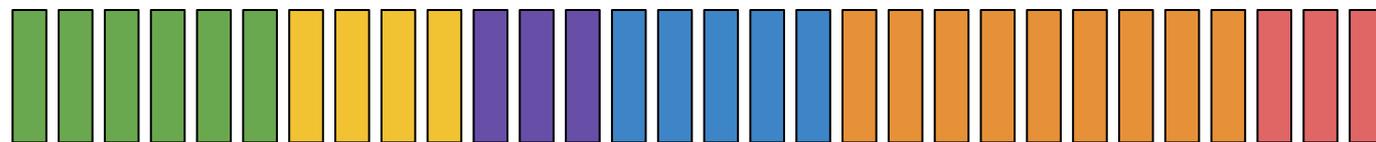
- We propose a **new masking strategy** where we mask **spans of entire phonemes**
- Given a list of phoneme boundaries for each utterance i.e. the start and end frame indices for each phoneme in the utterance.
 - fix a **proportion q** of total frames we intend to mask and a phoneme **span length m**
 - randomly sample a phoneme index i and mask out all phonemes from i to $i+m-1$. Repeatedly do this until the total frames masked hit the proportion q
 - $q=0.56$ is chosen such that the number of frames masked is approximately the same as RandomFrameSpan masking
- This is a more linguistically-driven masking strategy that intuitively should result in a harder pretraining loss

How to obtain a list of phoneme boundaries for unlabelled data?



Phoneme Segmentation

- The phoneme segmentation task involves segmenting a given input speech utterance into its constituent phonemes i.e. outputting a sequence of phoneme boundaries



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Fully Unsupervised Phoneme Segmentation

- Since we are developing a masking strategy for SSL, we cannot assume access to ground truth text data
- We use an unsupervised phoneme segmentation strategy proposed by Kreuk et. al. [6]. This approach neither requires labels for training nor for inference
- The algorithm uses contrastive loss to learn latent frame representations that distinguish adjacent frame pairs from non-adjacent frame pairs.
- During inference, a similarity score is computed for each adjacent frame pair and the lowest similarity score pairs are identified as phoneme boundaries



Supervised Phoneme Segmentation

- If one has access to the *ground truth text* of the speech utterance as well as a phonetic dictionary that maps words to phonemes (like in the traditional ASR pipeline), one can easily extract phoneme boundaries by:
 - Training a traditional HMM-based HCLG ASR system on labelled speech data
 - For a given speech utterance, run forced Viterbi alignment using the trained model on the speech and the corresponding ground truth phoneme sequence. This will time-align the ground truth phoneme sequence, giving phoneme boundaries as desired
- This requires access to ground truth text data for both the training set used to train the ASR system and the test set whose boundaries need to be found
- Kaldi [5] has off-the-shelf scripts to run forced Viterbi alignment
- This is an 'oracle' experiment; uses near-perfect phoneme boundaries



Evaluation

- The SUPERB [4] benchmark consists of a set of downstream speech tasks that can be used to evaluate pretrained speech models
- We focus on ASR (Automatic Speech Recognition) , PR (Phoneme Recognition), KS (Keyword Spotting). The metrics are WER (lower is better), PER (lower is better), Accuracy (higher is better) respectively.
- For each task, the model parameters are frozen. Then,
 - All layers of the model are summed (with learnable weights for each layer) to generate the final representation
 - A task-specific head is placed on top of this representation for generating the task output.



Experimental Setup - Pretraining and Eval

- **Datasets**

- We use the 960-hr Librispeech data for pretraining the model

- **Model**

- We use the HuBERT Base model for all our experiments. We train our k-means clusters using the 6th layer of the Facebook-provided pretrained checkpoint.
- We modify the HuBERT dataloader and training code from [fairseq](#) [8] to support phoneme-based masking.
- We initialize our pretraining expts using the Facebook-provided pretrained checkpoint rather than pretraining from scratch. We train for 40k additional steps.

- **Evaluation**

- We use the provided SUPERB scripts in the [s3prl](#) toolkit.



Experimental Setup - Phoneme Segm.

- Unsupervised Approach
 - Off-the-shelf phoneme segmentation model released by Kreuk. et. al. trained on Buckeye [7] corpus and train-other-500 set of Librispeech. We run the entire Librispeech corpus through the model to generate phoneme segmentations
- Supervised Approach
 - We train a TDNN-HMM ASR model using the standard Kaldi Librispeech ASR recipe on 960-hr Librispeech train set
 - We run forced Viterbi alignment using the `align_fmllr.sh` Kaldi script on the Librispeech corpus



Experimental Results

Masking Strategy	Span Length	Boundary Type	ASR (WER)	PR (PER)	KS (Acc)
None (original ckpt)	-	-	7.09	6.10	96.55
RandFrameSpan	-	-	7.18	5.61	96.62
RandPhoneme	1	Supervised	7.13	5.57	96.72
	2	Supervised	7.11	5.53	96.88
		Unsupervised	7.05	5.51	96.52



Future Work

- Training for more steps to (hopefully) demonstrate larger gains
- Data-driven analysis (like PMI Masking) to find spans that are potentially even more meaningful than phonemes/resemble phonemes
- Reducing dependency on external tools like phoneme segmentation using the above
- Using phoneme-based ideas to change the discretization strategy itself (force all frames within a phoneme to have the same discrete index, for example)



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